

## Brochure Supplement (Form ADV, Part 2B)

**Anthony R. Jones**

**5940 Clyde Moore Dr, Suite C**

**Groveport, OH 43125**

**(614) 441-9605**

**rebel Financial LLC**

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### Item 1: Cover Page

This brochure supplement provides information about Anthony Jones that supplements the rebel Financial LLC brochure. You should have received a copy of that brochure. Please contact W. Phil Ratcliff if you did not receive the rebel Financial LLC brochure or if you have any questions about the contents of this supplement.

Additional information about Anthony Jones is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).



March 30, 2020

# Item 2: Educational Background & Business Experience

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## Name, Age (Year of Birth)

Anthony R. Jones, 53 (1967)

## Formal Education

Indiana University, BS – Accounting, 1990

Lake Forrest Graduate School of Management, MBA, 2007

## Business Background

rebel Financial LLC, Financial Advisor, 2015 - Present

PNC Investments, Financial Advisor, 2014 – 2015

Ellison Technologies., Sales Engineer, 2013-2014

Blue Star Machinery, Sales Representative, 2012-2013

Nationwide Financial Services, Internal Consultant, 2011-2012

Woodbury Financial Services, Licensed Advisor, 2010-2010

John Hancock, External/Internal Wholesaler, 2006-2010

## Description of Professional Designations

Mr. Jones is a Certified Financial Planner (CFP) ®, Accredited Investment Fiduciary® AIF®, a and an Enrolled Agent.

## Certified Financial Planner™ (CFP) ® (2016)

Issued by: Certified Financial Planner Board of Standards, Inc. located in Washington, DC

Prerequisites/Experience/Education Required: Candidate must complete a CFP Board registered program, or hold one of the following: Certified Public Accountant (CPA), Chartered Financial Consultant (ChFC), Chartered Life Underwriter (CLU), Chartered Financial Analyst (CFA), Ph.D. in business or economics, Doctor of Business Administration, or Attorney's License. A candidate must also pass the (CFP) ® Certification Examination, meet the work experience requirements (3 years work experience as defined by the CFP Board) comply with the CFP Board's *Code of Ethics and Professional Responsibility (Code of Ethics)*, *Rules of Conduct* or *Financial Planning Practice Standards (Practice Standards)*. Applicants must agree to abide by the Standards of Professional Conduct. Applicants must also disclose of any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or

proceeding relating to their professional or business conduct. Successful candidates receive a certificate and may use the designation on correspondence and business cards.

Continued use of the CFP® designation is subject to ongoing renewal requirements. To continue using the CFP® designation, 1) an individual must pay an annual certification fee of \$325.00, 2) must complete 30 hours of continuing education every two years and report continuing education to the Certified Financial Planner Board of Standards, Inc., 3) complete to renew the CFP® certification. The renewal process involves completing a statement disclosing any investigations or legal proceedings relating to professional or business conduct.

### **Accredited Investment Fiduciary® AIF® (2017)**

The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

### **Enrolled Agent (2019)**

An enrolled agent is a person who has earned the privilege of representing taxpayers before the Internal Revenue Service by either passing a three-part comprehensive IRS test covering individual and business tax returns, or through experience as a former IRS employee. Enrolled agent status is the highest credential the IRS awards. Individuals who obtain this elite status must adhere to ethical standards and complete 72 hours of continuing education courses every three years (16 hours per year, 2 hours must be Ethics).

## **Item 3: Disciplinary Information**

### **Legal or Disciplinary Events**

No information is applicable for this item for Mr. Jones.

### **Criminal or Civil Action**

There is no material information that is applicable for this item for Mr. Jones.

### **Administrative Proceeding**

No information is applicable for this item for Mr. Jones.

### **Self-Regulatory Organization Proceeding**

No information is applicable for this item for Mr. Jones.

### **Other Proceeding**

No information is applicable for this item for Mr. Jones.

## **Item 4: Other Business Activities**

### **Investment-related Activities**

Mr. Jones does not have any other investment-related activities.

### **Other Business or Occupation for Compensation**

Mr. Jones has no other business or occupation for compensation other than those previously disclosed.

## **Item 5: Additional Compensation**

### **Economic Benefit**

Mr. Jones will occasionally attend training, lunches, continuing education and seminars hosted by investment companies with non-cash compensation/gifts of less than \$100.

## **Item 6: Supervision**

### Describe Supervision

For activities of rebel Financial LLC, Mr. Jones is directly supervised by W. Phil Ratcliff. Mr. Ratcliff monitors his advice in an effort to ensure investments are suitable for his individual clients and consistent with their individual needs, goals, investment objectives and risk tolerance. Mr. Ratcliff also reviews Mr. Jones' activities to ensure compliance with the rebel Financial, LLC. Code of Ethics

### Name, Title and Telephone Number of Supervisor

W. Phil Ratcliff, President, (614) 441-9605

## Item 7: Requirements for State-Registered Advisers

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### Additional Information Regarding Disciplinary Events

There are no additional material facts to disclose regarding disciplinary events for Mr. Jones.

### Bankruptcy

No information is applicable for this item for Mr. Jones.