

EPIC Advisor's Ancillary Fees

Plan Specific Enrollment Booklets	\$6.00 per book (25 book min order required)
Platform ineligible assets and manually traded assets (i.e., mutual funds, pooled funds, GICs, managed accounts, etc.)	\$500 annually per asset
Employer Stock processing	Quoted separately
Leveraged stock processing	\$1,750 annually
Dividend pass-through processing	\$1,200 annually
Funds > than 30	\$100 per fund setup and \$300 per fund annually starting at 31st fund
Payrolls > than 52 annually	\$50.00 each starting at 53rd payroll
Distributions ⁴ (i.e., Lump-sum, Hardship, etc.)	\$25.00 each
Periodic Payments and Deemed Distributions	\$4.00 each
Participant In-Plan Roth Conversion Transaction	\$150.00 per hour
Participant Loans	\$150.00 set-up fee
Web site online forms and SPD (for non-EPIC document users) maintenance, as requested	\$150.00 one-time setup; \$150.00 per hour ongoing
Plan Document Services ⁵ :	
New or Restated Plans (Prototype MP, PS, 401(k), 403(b))	\$750.00 \$1,500.00
New Comparability Volume Submitter Plan Submissions	\$1,500.00, plus IRS Filing Fee \$200.00
Plan Amendments	
MasteryPOINT AdvicePlus	\$4.00 per participant annually
Postage & Shipping	Cost + 15%
Statement Inserts	\$250 per document setup; \$.10 per insert
Deconversions (Includes a test and a live set of files. Services required beyond this will be billed at special services rate.)	\$750 per plan
Programming, consulting, special services (Please request a list of services.)	\$225.00 hour

⁴Each payee is treated as an individual distribution.

⁵Plan Document Service Fees for prototype plans only (i.e., standardized and non-standardized Money Purchase, Profit Sharing, and 401(k)). All other plan types on quoted basis.